

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Arbajian : Date: Herewith  
Serial Number: Concurrent : Group Art Unit: Unknown  
Filed: Concurrent : Examiner: Unknown  
Title: SYSTEM AND METHOD FOR : IBM CORPORATION  
PROVIDING DATA SECURITY Intellectual Property Law  
: MD90/201-1  
8501 IBM Drive  
: Charlotte, NC 28262

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

**Mail Stop: Patent Application  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

  X   37 CFR 1.97(b) - No Fee.

       37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated \_\_\_\_\_, which is not more than 3 months prior to the mailing of this statement.

       37 CFR 1.97(c) - Fee.


       37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 50-0629 (IBM).

Dated: February 24, 2004

Respectfully submitted,

By: \_\_\_\_\_

  
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Arbajian
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	CHA920040006US1

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,289,462 B1	09/11/2001	McNabb et al.	
		US- 6,336,114 B1	01/01/2002	Garrison	
		US- 6,438,549 B1	08/20/2002	Aldred et al.	
		US- 2001/0011247 A1	08/02/2001	O'Flaherty et al.	
		US- 2001/0018747 A1	08/30/2001	Bouthors	
		US- 2001/0049615 A1	12/06/2001	Wong et al.	
		US- 2003/0046576 A1	03/06/2003	High, Jr. et al.	
		US- 2003/0084296 A1	05/01/2003	Kyojima et al.	
		US- 2003/0130866 A1	07/10/2003	Turner et al.	
		US- 2003/0163416 A1	08/28/2003	Kitajima	
		US- 2003/0187848 A1	10/02/2003	Ghukasyan et al.	
		US- 2003/0200215 A1	10/23/2003	Chen et al.	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

Examiner  
Signature

/Amare Tabor/

Date  
Considered

05/30/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /A.T./